PRUDENTIAL

## SMART FEATURES FORM STP / SWP / DTP / TRIGGER / LIQUITY

Application No.

| A                                    | RN-343                               | DE)/RIA CODE<br><b>48</b>                               | :# SUB-I   | BROKER ARN CODE  |  | ROKER CODE<br>d by ARN holder)                                 |  | yee Unique<br>1 <b>25112(4</b> UIN)                               |
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|                                      |                                      |   |  | ser the details of my/our transaction  |  |  |  |   |
| aration for "exe<br>out any interact | cution-only" tra<br>tion or advice b | nsaction (only w<br>y the employee/<br>y bas not charge | here EUIN box is left blank)<br>relationship manager/sales<br>ad any advisory fees on this | (Refer Instruction No. XIII). – I/W<br>person of the above distributor or<br>s transaction.            | e hereby confirm that the EU<br>notwithstanding the advice | IIN box has been intentionall<br>of in-appropriateness, if any | y left blank by me/us as this is<br>, provided by the employee/rel | an "execution-only" transaction<br>ationship manager/sales person |
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| SIGNAT                               | URE OF SO                            | LE/FIRST A  | PPLICANT   | SIGNATURE OF S   | SECOND APPLICANT   |  | SIGNATURE OF THIRE   | APPLICANT   |
|                                      |                                      |   |  | ROUGH DISTRIBUT  |  |  |  |   |
| her than first til                   | me mutual fu                         | nd investor) w  | ill be deducted from the   | d your Distributor has opted to<br>subscription amount and pai<br>IFI registered Distributors bas      | d the distributor. Units w                                 | vill be issued against the                                     | balance amount invested  |   |
|                                      |                                      |   | S INFORMATIO   | -  |  | alidation please mention yo                                    | •  | rendered by the distributor.                                      |
| me Mr. Ms.                           |                                      | FIRST   |  |  |  | olio No.   |  |   |
|                                      | · · · · ·                            | DETAILS   | (Please Refer to Instr   | ruction No. II (b) ) Manda   | tory information – If left bla                             | nk the application is liable to                                | be rejected.   |   |
| lo/First                             | Mr. Ms. M                            |   | FIRST  | MIDDLE   |  | LAST   | Date of Birth**  | MMYYY   |
| N*                                   |                                      |   |  | Encl   | osed (Please ✓) <sup>§</sup>                               | ⊖KYC Acknov  | wledgement Letter  |   |
| me of * #                            | Mr. Ms.                              | GUA   | RDIAN IN CASE FIRS   | T APPLICANT IS A MINOR   | OR ¢   | ONTACT PERSON IN (   | CASE OF NON-INDIVID  | JAL APPLICANTS  |
| N*                                   |                                      |   |  | Relationship with<br>Minor applicant   | ONatural guardian  |  |  | d (Please ✔) <sup>§</sup><br>Acknowledgement Lette                |
| d Applicant                          | Mr. Ms.                              |   | FIRST  |  | MIDDLE   | guardian   | LAST   |   |
| N*                                   |                                      |   |  | Encl   | osed (Please √) <sup>§</sup>                               | ⊖KYC Acknov  | vledgement Letter  |   |
| l Applicant                          | Mr. Ms.                              |   | FIRST  |  | MIDDLE   |  | LAST   |   |
| N*                                   |                                      |   |  | Encl   | osed (Please ✔) <sup>§</sup>                               | ⊖KYC Acknov  | vledgement Letter  |   |
| SVSTEN                               |                                      | RANSEER   | PLAN (STP) (P  | Please refer to instruct   |  | 0  |  |   |
| ame of sch                           |                                      |   |  |  |  | WISH TO TRANSP   |  |   |
|                                      |                                      |   |  | s or fill in the respective op   |  |  |  |   |
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| ORegular (                           | Direct Divi                          | idend Freque  | ncies:   | I  |  |  | AEP Frequencies:   |   |
| lame of scl                          | neme: IC                             | CICI PRU  | DENTIAL  | (SCHEME IN   | TO WHICH YOU V   | VISH TO TRANSFE  | ER AMOUNT)   |   |
| -                                    |                                      | (Please ✓ tl  | ne appropriate boxes   | s or fill in the respective op   | tions/sub-options/fac                                      | cilities, in which you p                                       | lan to invest)   |   |
| PLAN:                                |                                      | FION:   |  | SU   | B-OPTION:  |  |  |   |
| Regular C                            |                                      |   |  |  |  |  | AEP Frequencies:   |   |
|                                      | •                                    |   | ∕  | ,  | <b>IP Date</b> (Monthly frequ                              |  | ~ ~ ~  | ) Last day of Month<br>mount is ₹ 250 & in multin                 |
| nstallmentAme<br>Minimum of Ra       | ~                                    | :   |  | No. of Installments<br>(Minimum 6 installm   | ents)  | of ₹ 50 thereof and minin<br>schemes, please refer to          | mum. (Daily STP is availab   | mount is ₹ 250 & in multip<br>le for specific source & targ       |
|                                      |                                      | THDRAW  | IAL PLAN (SWP  | P) (Please refer to inst   |  |  |  |   |
| lame of sch                          |                                      | CICI PRU  |  |  |  | WISH TO WITHD  | RAWAL AMOUNT)  |   |
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| Vithdrawa<br>Amount                  | `                                    |   |  | requency OMonthly  |  | tDate: M M / Y   | Y Y Y End Date:  | MM/YYY  |
|                                      |                                      |   |  | to instruction No. XV  |  |  |  |   |
| lame of Sou                          |                                      |   | I PRUDENTIAL   | (SCHEME NAME &   |  |  |  | U OPT FOR DTP)  |
| Name of Tar                          | •                                    |   |  |  |  | VISH TO TRANSF   |  | 6 11 1 N  |
| PLAN:                                | -                                    | (Please ✓ th<br>FION:                                   | ie appropriate boxes   | or fill in the options/sub-o   | ptions, only if applica<br><b>B-0PTION</b> :               | Die to the scheme into   | o which you wish to tra  | isier alvidend)   |
| Regular (                            |                                      |   | ncies:   | 30   | 5 51 11014.  |  | AEP Frequencies:   |   |
|                                      |                                      |   | e application is liable  | to be rejected.  | * Name of Guardian   | /Contact Person is Ma  | -  | or/Non-Individual Invest  |
| Mandatory in<br>or KYC require       | case the S<br>ements, plea           | ole/First app<br>ase refer to th                        | licant is minor.<br>le instruction Nos. II b<br>shment of units is sub                     | -  | For documents to be<br>end in the respective               | e submitted on behalf<br>Scheme(s). Please refe                | of minor folio refer ins   | truction II-b(2)  |
| Central                              | ICICI F<br>Service C                 | <b>Prudenti</b><br>Office, 2nd<br>Highway,              | <b>al Asset Mana</b><br>Floor, Block B-2,<br>Goregaon (East),                              | NFORMATION PLEA<br>agement Compar<br>, Nirlon Knowledge F<br>Mumbai - 400 063. I<br>TNL/BSNL) 1800 200 | <b>y Limited</b><br>Park, Western Exp<br>India             |  | ation No.  |   |
|                                      |                                      |   |  |  |  |  |  |   |

| Piese *         New Registration         Orderte existing registration         Orderte existing registration           CIGC Prodential Swings Fund         CIGC Prodential Isola Income Plan         CIGC Prodential Income Plan   |   |  | NCELLA  | TION (Please refe  | er to instructio  | on <u>No. X</u>  | /III) <u> </u>   |   |  |
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| COID Producting Sovings Fund       COID Producting Soving Soving Fund       COID Producting Soving Soving Fund       COID Producting Soving  | -   | New Registration Oupdate   | e existing  | registration   |   |  | <u> </u>   | <b>on</b> (Of any trigger   | set-up registered earl   |
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| Bigular         Dividend Prequencies:         Daily         Viewsky         Formightly         Monthly         Quarterinty         Annual         Dividend Others           Amount / Units to be triggered To (Please / Target Scheme)         Dick Producting Equity Fund         Dick Product  | <u> </u>  |  |   |  |   |  |  |   |  |
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| CIC Prodential Base End   |   | 1 0  | -   |  |   |  | ,,   | 0   |  |
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| PLAN:<br>Dreuet         OPTION:         Orwidend Tequencies:         Daily         Weekly         Formation         Outdent Requencies:         Dividend Others           TOTAL AMOUNT TO BE REGISTERED<br>Ruppes         AMCLINT BI RECENTS         TRIGGER LEVEL         TRIGGER MOUNT         Sub-ADMINISTICS         Sub-ADMINISTICS <t< td=""><td>0</td><td></td><td><u> </u></td><td></td><td>- ·</td><td>Q</td><td></td><td></td><td></td></t<>   | 0   |  | <u> </u>  |  | - ·   | Q  |  |   |  |
| Angular       Direct       Direct       TRIGGER LEVEL       TRIGGER AMOUNT            X AMOUNT TO BE REGISTERED        TRIGGER LEVEL        TRIGGER AMOUNT             X AMOUNT IN FRURES        Bys or INAU (Please *) or BSE Semex Value        So of Total Registered Amount to be Transfer             Ruppes        MACOUNT IN FRURES        Bys or INAU (Please *) or BSE Semex Value        So of Total Registered Amount to be Transfer             MACOUNT IN VOIDS        Diffs or INAU (Please *) or BSE Semex Value        So of Total Registered Amount to be Transfer             Diffs or INAU (Please *) or BSE Semex Value        MACOUNT IN VOIDS        MAMAUTHES OF 100 POINTS             Diffs or INAU PLEAS OF 100 POINTS        Diffs or INAU PLEAS OF 100 POINTS        MAMAUNE MALTINE OF SS             DORCE SCHEMES & OPTIONS        Appreciation Dividend amount to be transferred from -Please * anyoneoftheScheme-Options!        MAMAUNE MALTINE OF SS             CICI PRUDENTIAL FLEXIBLE INCOME PLAN        Daily        Weekly        On the Mattrie OPH PLAN        Dividend Other             Cici PRUDENTIAL FLEXIBLE INCOME PLAN        Daily        Weekly        On the Mattrie OPH PLAN        Dividend Other             Cici PRUDENTIAL FLEXIBLE INCOME PLAN   | -   | -  | 0   | -  |   | -  |  |   | -  |
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| * AMOUNT IN FIGURES       % drop in NAV (Please *) or BSE Sensex Value       % of Total Registared Amount to be Transfer         * Buppers   |   | Dividend Frequencies.  |   |  |   |  |  |   |  |
| Rupees       5% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         10% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         10% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         20% or       INMULTIPLES OF 100 PONTS       MINMUM 10% AND IN MULTIPLE OF 5%         CICI PUDENTIAL FLEXIBLE INCOME PLAN       PLAN:       Regular       OR       Direct         CICI PRUDENTIAL LIQUID PLAN       PLAN:       Regular       OR       Direct         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Direct         Apprecision / Noted       Daily       Weekly       Forthightty       Monthly       Quarterly       Dividend Other         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Direct         Apprecision / Nother       D  | TOTAL AMOUI   | NT TO BE REGISTERED  | TRIGG   | ER LEVEL   |   | ٦  | RIGGER A   | MOUNT   |  |
| Ruppers       5% or<br>10% o | ₹ AN  | OUNT IN FIGURES  | % drop i  | n NAV (Please ✔) or BSE  | Sensex Value  |  | % of Total Re  | egistered Amo   | unt to be Transfer   |
| AMOUNT IN WORDS  | Ruppor  |  | 0 5%  | or IN MULTIPLE   | ES OF 100 POINTS  | 6  | MINIM  | UM 10% AND IN I   | MULTIPLE OF 5%   |
| ANOUNT IN WORDS  | nupees  |  | 0 10%   | or IN MULTIPLE   | ES OF 100 POINTS  | 6  | MINIM  | UM 10% AND IN I   | VIULTIPLE OF 5%  |
| 20% or       INULTIPLES OF 100 POINTS         (Please refer instruction XVIII(1))       Do % of Total Registered Amount         (Direct       100 % of Total Registered Amount         (CIP PUDENTIAL LEVENTIAL FLEXIBLE INCOME PLAN       FAA:       Chegular       OR       Direct         (Direct       0 minute of the provide of the transferred from - Please of anyone/theSchema(Options)       Direct       Direct <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>   |   |  |   |  |   |  |  |   |  |
| Please refer instruction XVIII(1)       100 % of Total Registered Amount         LLOUITY FACILITY (Please refer to instruction No. XIX         SUBJECE SCHEMES & OPTIONS (Appreciation / Dividend amount to be transferred from -Please ^ anyoneoftheSchemeiOptions)         CICI PRUDENTIAL FLEXIBLE INCOME PLAN       PLAN:       Regular       OR       Direct         Growth       OR       Dividend Quiton -       Payort       Reinvestment       Daily       Weekly       Fortnighthy       Monthly       Quarterly       Dividend Oth         CICI PRUDENTIAL LIQUID PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Dividend Oth   | AN  | VIOUNT IN WORDS  |   |  |   |  |  |   |  |
| LOUITY FACILITY (Please rofer to instruction No. XI)  COURCE SCHEMES & OPTIONS (Appreciation / Dividend amount to be transferred from - Please ✓ anyoneoftheScheme(Options)  CICI PRUDENTIAL FLEXIBLE INCOME PLAN PLAN: Plequiar OR Direct CICI PRUDENTIAL FLEXIBLE INCOME PLAN PLAN: Plequiar OR Direct CICI PRUDENTIAL ICUID PLAN PLAN: Plequiar OR Direct Growth OR Dividend Option- Payout Reinvestment Daily Weekly Monthly Quarterly Haif Yearly Dividend Oth CICI PRUDENTIAL SAVINGS PLAN PLAN: Plequiar OR Direct Growth OR Dividend Option- Payout Reinvestment Daily Weekly Monthly Quarterly Haif Yearly Dividend Oth CICI PRUDENTIAL SAVINGS PLAN PLAN: Plequiar OR Direct Of Growth OR Dividend Option- Payout Reinvestment Daily Weekly Fortrighthy Monthly Quarterly Dividend Oth CICI PrUDENTIAL SAVINGS PLAN PLAN: Plequiar OR Direct CICI PrUDENTIAL SAVINGS PLAN PLAN: Plequiar OR Direct CICI PrUDENTIAL FLEXIBLE INCOME Please / anyone ofthe Schemes-only Growth Option available CICI Prudential Focused Bluechip Equity Fund CICIC Prudential Focused Bluechip Equity Fund CICIC Prudential Focused Bluechip Equity Fund CICIC Prudential Focuse Fund CICIC Prudential Muticap Fund CICIC Prudential Muticap Fund CICIC Prudential Restructore for SIGNATURES for Statemestore of Morey Laundering 4X, Wee and there statemestore of Morey Laundering 4X, Wee are under to the scheme and other statemestore of Morey Laundering 4X, Wee are under to the scheme and there statemestore for Morey Laundering 4X, Wee are under to the scheme and there statemestore to Scheme and ther  |   |  | ○ 20%   | or IN MULTIPLE   | SOF 100 POINTS  |  |  |   |  |
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| CICI PRUDENTIAL FLEXIBLE INCOME PLAN       PLAN:       Regular       OR       Direct         Growth       OR       Dirideud Option-       Payout       Reinvestment       Daily       Weekly       Fortnightly       Monthly       Quarterly       Dirideud         Growth       OR       Dirideud Option-       Payout       Reinvestment       Daily       Weekly       Monthly       Quarterly       Half Yearly       Verarly       Dirideud Ottion         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Pequaler       OR       Dirideud       Dirideud       Ottion         Growth       OR       Dirideud Option-       Payout       Reinvestment       Daily       Weekly       Fortnightly       Monthly       Quarterly       Dirideud Ottion         CICI PRUDENTIAL FALEXANINGS PLAN       PLAN:       Regular       OR       Direct         Growth       OR       Dirideud Aption       OR       Dirideud Aption       Dirideud Aption         CICICI Prudential Focused Bluechip Equity Fund       ICICI Prudential Value Discovery Fund       ICICI Prudential Nalue Discovery Fund       ICICI Prudential Reinvestore State       ICICI Prudential Reinvestore State       Dirideud Aption       Prestore State       Dirideud Aption       Prestore State       Dirideud Aptin       Dirideud Aption       Diride   |   |  |   |  |   |  |  |   |  |
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| Growth       08       Dividend Option-       Payout       Neinvestment       Daily       Weekly       Monthly       Quarterly       Half Yearly       Dividend Oth         CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Direct         Growth       08       Dividend Option-       Payout       Neinvestment       Daily       Weekly       Fortnightly       Monthly       Quarterly       Dividend Oth         Appreciation       Dividend Option-       Payout       Neinvestment       Daily       Weekly       Fortnightly       Monthly       Quarterly       Dividend Oth         Appreciation       Dividend amount to be transferred from - Please       any one of the Schemes-only Growth Option available)       ICICI Prudential Dynamic Plan       ICICI Prudential Multicap Fund       ICICI Prudential View Discovery Fund       ICICI Prudential Multicap Fund       ICICI Prudential Regularies of the scheme and other statucery and icicicic Planchantian Mutua Fund, IWe New read and understood the Scheme Information Document Key Information Memorandum of the Schemes(). IWe apply for the units of the Fund and agree to the terms; confidence V, innaking the investment V is declare that the and indicator applicable to Planc Option andindicator applicable to Planc Option and indicator appli  | ) Growth OR   | Dividend Option - () Payout () Rein  | ivestment   | O Daily O Weel   | kly 🔿 Fortni  | ightly (   | ) Monthly  | O Quarterly   | O Dividend Oth   |
| CICI PRUDENTIAL SAVINGS PLAN       PLAN:       Regular       OR       Direct         Growth       OR       Dividend Option       Payout       Reinvestment       Daily       Weekly       Fortnightly       Monthly       Quarterly       Dividend Oth         TARGET SCHEMES & OPTIONS       PLAN:       Regular       OR       Direct         Appreciation / Dividend amount to be transferred from - Please < any one of the Schemes - only Growth Option available)  | CICI PRUDEN   | ITIAL LIQUID PLAN  |   |  | PLAN:   | Regul  | ar OR  | ODir  | ect  |
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